

Governance and Audit Committee

Meeting Venue
Hybrid meeting - Zoom - County Hall

Meeting Date
Friday, 12 January 2024

Meeting Time
10.00 am

For further information please contact
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County Hall
Llandrindod Wells
Powys
LD1 5LG

05/01/2024

Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.
Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod gwaith cyn y cyfarfod.
You are welcome to speak Welsh or English in the meeting.
Please inform us of which language you wish to use by noon, two working days before the meeting.

AGENDA

1.	APOLOGIES	
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To receive apologies for absence.

2.	DISCLOSURES OF INTEREST	
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

3.	MINUTES AND ACTION LOG	10.00 AM
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- (i) To authorise the Chair to sign the minutes of the previous meeting held as follows as a correct record:

a. 24th November 2023

- (ii) To receive and consider the Action Log.
(Pages 5 - 42)

4.	NEW WAYS OF WORKING UPDATE	10.10 AM
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To receive a presentation of the Head of Workforce and Organisational Development.
(Pages 43 - 48)

5.	WHISTLEBLOWING POLICY	10.25 AM
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To receive and consider the Whistleblowing Policy.
(Pages 49 - 60)

Audit Wales Items		
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6.	DIGITAL STRATEGY REVIEW	10.40 AM
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To receive and consider a report from Audit Wales and the organisational response.
(Pages 61 - 104)

SWAP Items		
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7.	SWAP INTERNAL AUDIT ADVERSE REPORT (YSGOL CALON CYMRU)	11.00 AM
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To receive and consider the SWAP report.
(Pages 105 - 118)

Corporate Items		
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8.	CORPORATE FRAUD AND ERROR REPORT - QUARTER 3 2023	11.40 AM
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To receive and consider the report of the Head of Finance.
(Pages 119 - 130)

9.	ANTI-FRAUD AND CORRUPTION POLICY	
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To note the renewed policy for information.
(Pages 131 - 144)

10.	BREAK	11.55 AM
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Risk Deep Dive		
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11.	CLIMATE EMERGENCY (EDR0011) AND NATURE	12.10 PM
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	EMERGENCY (HTR0018)	
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To receive and consider the Risk Deep Dive presentations.

12.	RISK ASSURANCE - PROCUREMENT AND CONTRACTS	12.40 PM
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To receive a risk assurance update.

13.	WORKING GROUPS	12.50 PM
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To receive and consider the following Governance and Audit Committee Working Group reports:

- i) Heart of Wales Property Services (HoWPS)
- ii) Highways, Transport and Recycling (HTR)
- iii) To consider passing the following Resolution:
 - a. **RESOLVED that the Governance and Audit Committee note the findings of the HoWPS and HTR Working Group reports, and recommend that Cabinet accept the recommendations contained within the reports.**

(Pages 145 - 150)

14.	WORK PROGRAMME	
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 151 - 156)

15.	EXEMPT ITEM	1.00 PM
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To consider passing the following Resolution:

RESOLVED to exclude the public for the following item of business on the grounds that there would be disclosure to them of exempt information under category 3 of The Local Authorities (Access to Information) (Variation) (Wales) Order 2007.

The Monitoring Officer has determined that category 3 of the Access to Information Procedure Rules applies to the following item. His view on the public interest test (having taken account of the provisions of Rule 14.18 of the Council's Access to Information Rules) was that to make this information public would disclose information relating to the financial or business affairs of any particular person (including the authority holding that information).

These factors in his view outweigh the public interest in disclosing this information. Members are asked to consider these factors when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.

16.	REGULATORY TRACKER: QUARTER 2 2023/24 UPDATE	1.00 PM
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To receive and consider the report of the Leader and Cabinet Member for an Open and Transparent Powys.

Committee Reflection

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.